

It took a Village to Raise a Genre/form Index

**Innovative Users Group 2017 Conference
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Steps to Implementing Genre/Form at Kent State

- 1. Get buy-in from public services.**
- 2. Determine what we want to do.**
 - a. What is the current state of genre/form at LC?
 - b. What are OhioLINK's plans?
 - c. Survey other libraries for their practices – find other libraries doing what we do and ask about their implementation and indexing decisions.
 - i. How do they index?
 - ii. How do they present the option of searching this way?
 - iii. What information is on their help screens?
 - d. What are III's capabilities and limitations; what are our options for indexing and display?
 - e. Where is the data stored in our database? How is it indexed and displayed?
 - f. How do we want to index genre/form?
 - g. How should the display look?
- 3. Explain the plan to public services and administrators.**
- 4. Revise indexing tables.**
- 5. Reindex the database.**
- 6. Load the authority records.**
- 7. Implement the display decisions.**
- 8. Begin retrospective conversion projects.**

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Some Genre/Form and Subject Heading Thesauri

AAT – Art and Architecture Thesaurus

<http://www.getty.edu/research/tools/vocabularies/aat/>

FAST – Faceted Application of Subject Terminology

<http://www.oclc.org/research/themes/data-science/fast.html>

gsafd – Guidelines on Subject Access to Individual Words of Fiction, Drama, Etc.

<http://www.ala.org/alcts/resources/org/cat/marc21authority>

lcgft – Library of Congress Genre/Form Terms for Library and Archival Materials

<http://id.loc.gov/authorities/genreForms.html> (basic information; access headings through Classification Web)

LCSH – Library of Congress Subject Headings

<http://id.loc.gov/authorities/subjects.html> (basic information; access headings through Classification Web)

MeSH – Medical Subject Headings

<https://www.nlm.nih.gov/mesh/>

RBMS – Genre Terms: A Thesaurus for Use in Rare Book and Special Collections Cataloging

http://rbms.info/vocabularies/genre/alphabetical_list.htm

TGM – Thesaurus for Graphic Materials

<http://www.loc.gov/rr/print/tgm1/>

Genre / Form Bib Record Coding and Examples

	Possible 2 nd indicators	#2
650 About	0 - Library of Congress Subject Headings 1 - LC subject headings for children's literature 2 - Medical Subject Headings 3 - National Agricultural Library subject auth. file 4 - Source not specified 5 - Canadian Subject Headings 6 - Répertoire de vedettes-matière 7 - Source specified in subfield #2	MARC code that identifies the source list from which the subject added entry was assigned. It is used only when the second indicator position contains value 7 (Source specified in subfield #2). <u>Code from: Subject Heading and Term Source Codes.</u>
655 Is	0 - Library of Congress Subject Headings 1 - LC subject headings for children's literature 2 - Medical Subject Headings 3 - National Agricultural Library subject auth. file 4 - Source not specified 5 - Canadian Subject Headings 6 - Répertoire de vedettes-matière 7 - Source specified in subfield #2	MARC code that identifies the source list from which the index term was assigned. It is used only when the second indicator position contains value 7 (Source specified in subfield #2). <u>Code from: Genre/Form Code and Term Source Codes.</u>

Subject Heading and Term Source Codes

<https://www.loc.gov/standards/sourcelist/subject.html>

Genre / Form Code and Term Source Code List

<http://www.loc.gov/standards/sourcelist/genre-form.html>

Bibliographic record appearance

655 _7 [Term]. #2 lcgft

Example

655 _7 #a Documentary films. #2 lcgft

Coding for Genre/Form Authority Records

Bib Record tag	Source of Heading	Authority Record tag	Source of Heading
650 0	LCSH	150 0	LCSH
655 0	LCSH term used as a genre/form heading	150	LCSH
655 7	Genre/Form thesaurus coded in #2	155	Genre/Form thesaurus

Markers that you're looking at a genre/form authority record

1. 008/11 (type of subject heading thesaurus **z** (other))
2. 040 DLC #b eng #c DLC #f **lcgft** or IChALCS # b eng # c IEN #f **gsafd**
3. 155 tag

Authority Record Example (lcgft)

008 110505|| anznnbabn |a ana
 010 gf2011026225
 040 DLC #b eng #c DLC #f **lcgft** #d WaU

155 Epic films

455 Costume spectacles
 455 Epics, Film

...

555 Fiction films #w g
 670 Yee, M.M. Moving image materials, 1988 #b (Epics; use for fictional works which employ "a cast of thousands" to depict action on a cosmic scale. UF: Costume spectacles, Sandal and spear epics, Spectaculars, Sword and sandal epics)

...

680 #i This heading is used as a genre/form heading for films that employ large casts and lavish sets to depict action on a grand scale.

Authority Record Example (gsafd)

001 GSAFD000032
 003 IChALCS
 005 20000724103824.0
 008 000720 n anznnbabn a ana d
 040 IChALCS #b eng #c IEN #f **gsafd**

155 Epic films

455 Film epics

Potential Sources of Genre/Form Headings Found in KentLINK

650 0 Bib Count as of May 26, 2015 didn't measure

1. Legitimate subject headings.
2. Also headings that SHOULD be genre/form headings from the days when genre/form headings were tagged as subject headings.
3. Wrong for headings that have a separately controlled thesaurus
4. Okay for headings in music.

650 7 Bib Count as of May 26, 2015 43,021

1. Any source of subject heading that's not in the list of valid thesaurus for the 2nd indicator (i.e., **not** LC, MeSH, NAL, Source not specified, Canadian, Repertoire de vedettes-matiere).
2. Use the #2 to indicate the source.
3. May have some genre/form headings.

655 0 Bib Count as of May 26, 2015 41,571

1. Used for topical LCSH headings as genre/form fields when there is no genre/formheading available because no controlled thesaurus is available yet (e.g., literature) or there may never be an authority record for the genre/form heading.
2. OCLC flips headings to 655 7 #2 if the heading is put in a 655 0 field and it matches an lcgft heading

655 7 #2 Bib Count as of May 26, 2015 162,495

1. Used for headings from established thesauri.
2. Ill doesn't use the #2 to separate thesauri.

Recommendations for Genre/Form at Kent State University

Summary Recommendations

1. Genre/form should have its own index.
2. Genre/form should be its own tab between the "Subject" and "Numbers" tabs in KentLINK.
3. 6xx #v will be included in the genre/form index.
4. Genre/form headings will NOT be in the subject index.
5. The keyword index will continue to include genre/form words, hopefully with the addition of 655 #b and 655 #2. (got #b, changed on minds about #2)
6. If possible, we would like to have genre/form as a slice within keyword in addition to author, title, subject, and notes, but if this isn't possible, genre/form headings will remain in the notes slice. (didn't get what we wanted; it's in the notes slice)
7. If possible, we would like a separate area to search for genre/form within the advanced keyword tab. (got this)

Genre/Form Indexing Detailed Recommendations

1. Keyword Index

- a. We want to keyword index 655 a b x y z v for keyword

2. Genre / Form Index

- a. Load all fields, subfields.
- b. Wildcard (include) all first and second indicators.
- c. Index these 655 subfields: a, b, v, x, y, z
- d. Don't index these 655 subfields:
 - c because it is coded faceted / hierarchy designation information
 - 0 Authority record control number
 - 2 Included as part of the phrase; separates headings based on thesaurus
 - 3 Materials specified: part of the described materials to which the field applies
 - 5 Institution to which field applies (code)
 - 6 Linkage subfield (code)
 - 8 Field link and sequence number (code)
- e. Include #v from all other 6xx fields in the Genre / Form index.
 RATIONALE:

Yes	Will allow us to include MeSH genre/form
Yes	Most 6xx #v will never have their own 655
Yes	Our #v are pretty clean – we are less likely to get bad data
Yes	Will make our genre/form index more robust
Yes	Will not cause harm in Authority Control vendor reports
Neutral	OhioLINK libraries treat both ways
No	Will duplicate FAST data
- f. Load all authority records and allow cross references to conflict.

Genre/Form Conflicts between Thesauri

LCGFT term (authority record)	GSAFD term (authority record)	rbgenr term (authority record)	Local Use (authority record)
Comics (Graphic works) 4xx Comic books, strips, etc.	Comic books, strips, etc.		
Detective and mystery fiction 4xx Detective fiction 4xx Mystery fiction	Mystery fiction 4xx Detective and mystery fiction 4xx Mystery and detective stories	Mystery and detective fiction 4xx Detective fiction	
Paranormal fiction 4xx Occult fiction	Occult fiction		
Romance fiction 4xx Love stories	Love stories 4xx Romance fiction		
Thrillers (Fiction) 4xx Suspense fiction	Suspense fiction no 4xx		
True crime stories 4xx True crime literature			True crime literature
	Voyages, Imaginary 4xx Imaginary voyages	Imaginary voyages 4xx Voyages, Imaginary	

3. **Subject Index** -- Do not include 655 in Subject Index.

RATIONALE:

NO: If we mix 655s into subjects then what's the point? Not including them in the subject index makes the 655 become a specialized index with a defined purpose.

NO: Subjects are subjects and genre/forms are a different animal.

NO: Keeping the 655s out of the subject index provides for cleaner data going forward. We already have one big pot in the keyword index.

NO: There is plenty of genre/form data already in the subject index in the 650s. This legacy data will need to be teased out to 655s. However there is no excuse for continuing to put genre/form data in the subject index.

NO: The index will not include all the genre/form data – ever –because not all libraries will follow the rules re: coding as 655. We can't worry about that.

NO It will cost money/time to re-index the subject index, which we would have to do to include current 655s in the index.

YES Including it accommodates legacy data which we might never clean up.

YES It accommodates patrons who are less sophisticated searchers

Genre/Form Indexing Specifications

Tag	Indicators	KEEP Subfields
bibliographic 655	Include all	a, b, v, x, y, z
bibliographic 6xx	Include all	v
authority 155	Include all	a, v, x, y, z
authority 455	Include all	a, v, x, y, z
authority 555	Include all	a, v, x, y, z

Don't index 655 #2

1. Ill indexes it as a phrase along with the heading
2. It showed up in a browse screen and split headings (e.g., Poetry under lcgft is separate from Poetry under aat).
3. We didn't even index it in the keyword index.
4. The only users who might want to search using #2 are librarians and they can create lists looking for it.

Subject index

1. Not changed; do not include 655 fields.
2. Continue to index 6xx #v as subject as well as genre/form.
3. Do not create a new field group tag for 6xx #v's.

Keyword Indexing Specifications for Genre / Form

Tag	Indicators	KEEP Subfields
bibliographic 655	Include all	a, b, x, y, z, v
bibliographic 6xx	Include all	v

Keyword index

1. Keyword index 655 a b x y z v
2. Genre / form does not function as a "slice" within the keyword index. It's in the "Notes/Other" slice.

Retrospective Cleanup Ideas

We have to live with the fact that a LOT of our database will have genre/form headings in subject fields. We are cataloging for the future, with the current material. We will try to fix legacy records as time permits.

1. Send Backstage all our records with 655 fields and have them convert gsafd to lcgft headings.
2. Create a list of 655 #2, sort by #2 and then clean up the typos (e.g., we found genr, gsad, gsaf, gsfd, gtt, gtt 7, lcshgf, localgfbprov)
3. Flip existing headings with #2's
 - a. Create a list of 655 0 headings with #2's that are for legitimate genre/form headings and flip the tags to 655 7's.
 - b. Look for 650 7 headings that have thesaurus codes in #2 and flip to 655's.
4. Use the 338 field (carrier) to help find genre/form terms.
5. Use fixed fields to help find genre/form terms
 - a. Look for literature headings and use the LIT FORM codes (e.g. "p" for Poetry) to find types of literature. Create 655 7 fields with the genre/form heading.
 - b. Convert motion pictures (feature films, documentaries) with MAT TYPE g (audio visual) to correct genre headings.
 - c. Maybe look for "Films" in a subject heading and then look for MAT TYPE g and convert to a genre/form heading.
6. Convert subject headings to lcgft terms.
 - a. Use Classification Web to find lcgft terms
 - b. Search for the bold and non-bold terms as subject headings (subject headings are often the heading used for cross references in the genre/form listing)
 - c. Examine the bib record to determine if the book IS that term or is about that term.
 - d. Use Classification Web to look at the call number to see if that provides a clue about the heading.
 - e. If in doubt, don't change tag.

Before

650 0 Abstracts #v Periodicals

After

655 7 Abstracts. #2 lcgft
655 7 Periodicals. #2 lcgft

Problems

1. Changing to g/f might mean no subject headings in a bib record. E.g., Selected Rand abstracts
2. We're primarily converting to lcgft, so if something COULD go in another thesaurus, we're not finding those.
3. This ONLY finds things that have that exact term as a match. We're not finding anything that might really be genre/form but uses another term.